**Name**

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email  
(XXX) XXX-XXXX

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| **SUMMARY:** | A risk management professional experienced in information analysis, IT Auditing Controls for Security Risk Assessment and Compliance. Strong ability in design and implementation of IT controls. Strong knowledge and experience of IT Governance, IT Management processes and system development life cycles. Proven teamwork capabilities with excellent communication and presentation skills including communication and presentation to upper management. Exceptional organizational and project management skills. Investment banking / broker dealer experience. Desire and willingness to obtain Series 7 license. |
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| **EXPERIENCE**  *September 2012 to present*  *May 2010 to August 2012*  *December 2007 to*  *May 2010*  *June 2007 to*  *August 2007*  *August 2006 to*  *December 2007*  *May 2006 to*  *August 2006*  *May 2004 to*  *May 2006* | **Technology Services Group Governance Risk and Compliance Supervisor,** *Federal Reserve Bank of New York,* New York, NY   * Implement fully integrated risk management governance function within Technology Services Group to aid with risk identification, management and mitigation practices * Cross-functional management of solution for logical access certifications to help mitigate issues with least user access, segregation of duties, and inappropriate access to critical systems and data * Implement Bank-wide solution for policy management to provide a central repository for policy and procedure documentation storage, approval, and distribution * Performance of process mapping to include end-to-end process flow, narrative, risks, and associated controls environment * Inspire cross-functional collaboration through relationship building to help meet the goals of the Bank by spanning common organizational interests   **Operational Risk Analyst Team Lead,** *Raymond James Financial,* St. Petersburg, FL   * Managed Roles Based Access Control implementation to mitigate risks associated with toxic access combinations and inappropriate / unauthorized access to critical systems and data * Consulted with business units in making risk-based business decisions related to audit observation responses and implementation of subsequent control points * Implemented Operational Risk Management function to meet Federal Reserve requirement for bank holding company   **Sr. IT Auditor,** *Raymond James Financial,* St. Petersburg, FL   * Performed internal audits over relevant IT processes to assure executive management of efficiency of internal controls environment * Performed internal systems controls auditing to assure shareholders as well as external systems auditors of compliance with Sarbanes Oxley Act and overall business best practices * Produced impactful reports relaying findings to key IT and business executive management * Managed remediation efforts created from audit findings to ensure proper mitigation of deficiencies noted   **IT Staff Auditor** *First Advantage Corporation,* St. Petersburg, FL   * Performed internal systems controls auditing to assure shareholders as well as external systems auditors of compliance with Sarbanes Oxley Act and overall business best practices * Conducted risk assessments of business units to determine areas of highest business risk in order to insure compliance standards are met for shareholder assurance * Managed process improvement functions within business entities to streamline and improve processes thereby reducing operating costs, increasing efficiency, and aiding compliance with regulations   **Systems and Process Assurance Associate (*Internship – Full-time offer made*),** *PricewaterhouseCoopers,* Birmingham, AL   * Performed external systems controls auditing of public companies to assure shareholders of compliance with Sarbanes-Oxley Act * Took a consultative approach to the attest/assurance process of a client's operations utilizing an integrated audit methodology to assess the client's operations * Worked closely with clients and management at all levels to assess and test IT and business process controls and designing and implementing control solutions   **Teaching Assistant,** *University of Alabama MIS Program*, Tuscaloosa, AL   * Assisted with Advanced Programming and Database Architecture Classes * Mentored students throughout the semester to insure milestones were met and available resources were at students’ disposal * Developed, graded, reviewed, and performed lessons and project assignments   **Decision Support Services Analyst (*Internship*),** *RaceTrac Petroleum,* Atlanta, GA   * Performed data cleansing, migration, and standardization which facilitated company-wide report creation throughout multiple departments * Designed and implemented an intranet portal which enables and controls access to the organization’s strategic data that complies with Sarbanes-Oxley Act * Created weekly year vs. year sales reports for continual company-wide alignment with strategic goals through the utilization of MSSQL Stored Procedures   **IT Helpdesk,** *ZF Industries,* Tuscaloosa, AL   1. Built and implemented networked computer systems to allow ZF-Tuscaloosa to maintain an efficient working environment 2. Implemented, established governance standards, and trained users for new technology such as VOIP, mobile telecommunications, and satellite communications 3. Designed and deployed organization VPN and secure remote access to allow mobile users access to critical applications and data at the customer site |
| **EDUCATION** | **Bachelor of Science in Business Administration**  The University of Alabama  Graduation Date: December 2007  Major: **Management Information Systems**  Minor: **Computer Science**  **Masters of Business Administration**  University of South Florida  Graduation Date: December 2011 |
| **COMPUTER EXPERIENCE** | **Languages:** Visual C++, Visual Basic.NET, SQL, VB, C#, ASP.NET, Java, Python  **Operating Environments:** Windows, Windows Server, HP NonStop (Tandem), Linux, Unix, DOS  **Business Tools:** Microsoft Office Suite, SQL Server, Microsoft Visio, Microsoft Project, Archer, Courion, Oracle Entitlements Server, Oracle, MS Access, Microsoft Visual Studio, SAP, PeopleSoft, Lotus Notes |
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| **HONORS AND ACTIVITIES** | **Certified Information Systems Auditor (CISA), February 2010 – Present**  **Certified in Risk and Information Systems Control – June 2012 – Present**  **Certified Archer Administrator, March 2010 - Present**  **Capstone Mentoring Information Systems Society Vice President, Fall 2006**  **PwC/Protective Life Scholarship,** **Spring 2006, Spring 2007**  **Palma Ceia Primitive Baptist Church, Deacon 2007 - Present**  **Institute of Internal Auditors, Member 2008 - Present**  **Information Systems Audit and Control Association, Member 2008 – Present**  **Volunteer Counselor, Hospice Bereavement Camp Circle of Love, 2009 and 2011** |