



# Community Interest Group

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# About the S.E.C

- Securities and Exchange Commission
- Founded in 1934 by Franklin D. Roosevelt
- They are a government agency that's purpose is to regulate the stock market



# Duties and Responsibilities

- The main goal of the SEC is to protect citizens assets in the stock market.
- They also make sure the market is fair and no companies have advantages that others do not.
- They enforce Federal financial laws and punish violations
- They protect all \$38 trillion of money in the market
- That is 59% of the US equity market

# Impact

- They hold 5,248 companies accountable with citizens money
- Increased trust in the US Stock Market
- Provide aid to markets after natural disasters
- Recover money for victims of financial crimes